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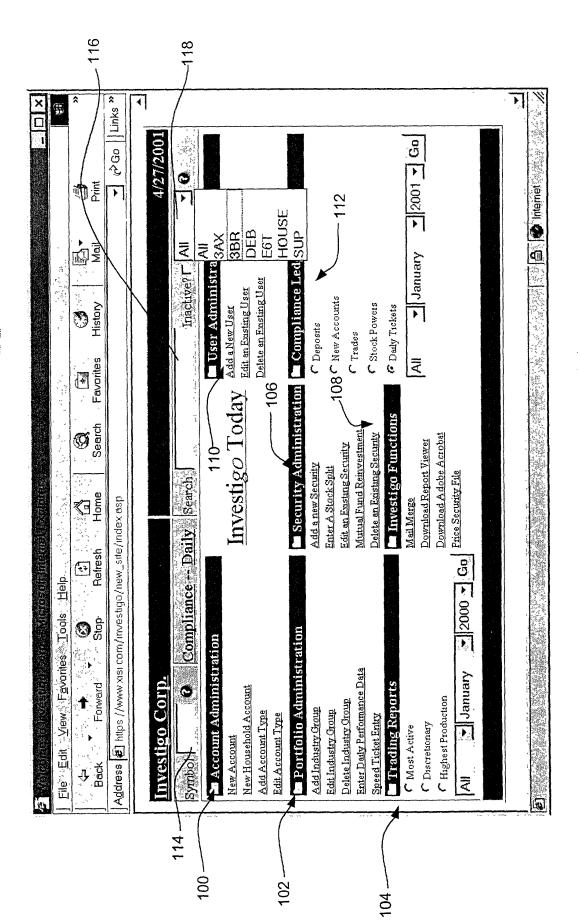


FIG. 5

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FIG. 6

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Account Number	Client Name	Account Type	NSS	* ****
W636080590	David A. Story	Regular IRA	303-52-5412	* ************************************
FERG6	Scott Fergusson	401(k)	304-96-1037	40° "4
W632319364	Jeffrey L. Hinen	JTWROS	308-82-8501	
W632014437	Mr. James E Lauritsen	DVP	43-0497480	
60688910	Carolyn E. Hem	403(b)	307-46-5353	
64747090	Chelsey J Coughlin	UGMA	313-13-3668	
64747091	Bray J Coughlin	UGMA	310-19-2016	4
FER5	Scott Fergusson	Regular IRA	304-96-1037	, ,
W632297842	Keith Edwards	NWROS	315-52-2906	
W636019770	Kim A. Lefever	Regular IRA	316-64-4482	**
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FIG. 7

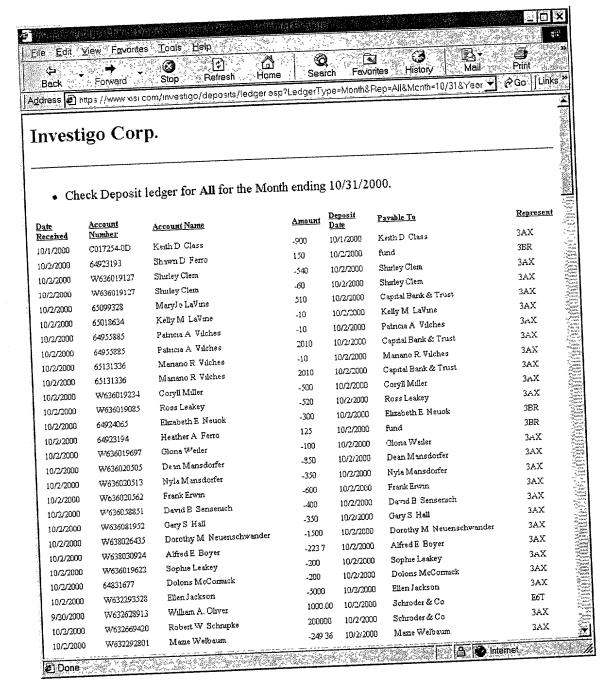


FIG. 8

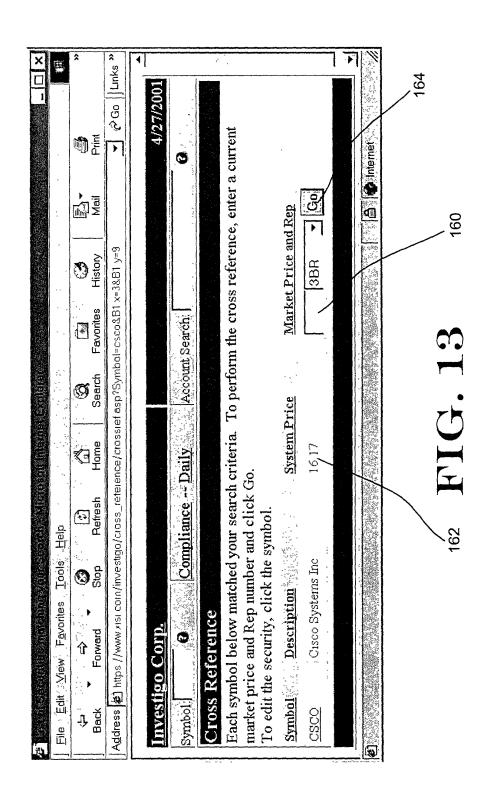
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decease	10/4/2000	Buy	W632293452	C017254-0D	60327 84	Unsolicited	· <u>=</u>		•
10/2/2000 11	10/5/2000	Buy	W632669420	ALAX	3603 604	Solicited	15 54	25000	
10/2/2000	10/5/2000	Buy	W632669420	DGSX	1689 708	Solicited	13 02	22000	
0,2,2000 1	0/5/2000	Buy	W632669420	RCVAX	1756 44	Solicited	17 08	30000	,,,,,,
10/2/2000	10/5/2000	Buy	W632667689	LEIFX	0 194	Solicited	,22 5		,
02/2000	10/5/2000	Buy	W632665626	RCVAX	51 317	Solicited	16 58	٥	1
10/2/2000	10/5/2000	Buy	W632658555	RCVBX	11.881	Solicited	16.61	0	
10/2/2000	0/5/2000	Buy	W632588893	RCVGX	7 324	Solicited	16 57		,
10/2/2000	10/5/2000	Buy	W632541066	BETM	000	Solicited	0.4375	187 6	G.
10/2/2000	10/5/2000	Buy	WE32498077	LEIBX	0 135	Solicited	.22 5		
0/2/2000 1	10/5/2000	Buy	W632467411	000	70	Solicited	88 5	6333 44	132.0
10/2/2000	10/5/2000	Buy	W632448502	RCVGX	16 242	Solicited	16.57	.0	Asserted
002/200	10/5/2000	Buy	W636047672	RCVGX	47 063	Solicited	16.57	O,	
10/2/2000	0/5/2000	Buy	W632367389	RCVBX	21.917	Solicited	16.61	0.	viani.
10,027,200	10/5/2000	Buy	W632669420	OPPAX	485 784	Solicited	66 69	34000	,
0,2,2000 1	10/5/2000	Buy	W632356945	LEIFX	1 342	Solicited	22.5	, O	
10,27,2000	0/5/2000	Buy	W632322475	RCVAX	,40 796	Solicited	16.58	0	
0,2,2000	0/5/2000	Buy	W632312666	AHITX	3 706	Solicited	12.97	0	
1002/200	10/5/2000	Виу	W632306858	NABGX	1333	Solicited	1504	, o ,	
0/2/2000	10/5/2000	Buy	W632297412	LEIBX	0 292	Solicited	22 5	, O	
0/2/2000 11	10/5/2000	Buy	W632297347	LEIBX	0.576	Solicited	22 5	<u>.</u>	
10/2/2000	10/5/2000	Buy	W632297107	Q Q	0.62	Solicited	54.937	34 22	1
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Trade Date	Settle Date	Buy / Sell	Trade Date Settle Date Buy / Sell Account Number Product	Product	Shares	S/IIIVS	Type	Price	Report	Rep	
10/2/2000	10/5/2008	Buy	W632669420	45168H105	2001 8200	Solicited	Cash - 01	market	10 99	3 A X	•
0002/2/01	10/5/2000	Sell	W632319364	RHAT	500	Unsoberted Cash - 01	Cash - 01	mkt	17 1/16	3BR	
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10/2/2000	10/5/2000	Buy	W632541066	BETM	300	Unsolicited Cash - 01	Cash - 01	market	4375	3AX	
10/2/2000	10/5/2000	Sell	W632319364	TRCR	300	Unsoluted Cash - 01	Cash - 01	3 11/16		3BR	990. en
10/2/2000	10/5/2000	Buy	W632669420	IALAX	3603 6040	Soluted	Cash - 01	market	15 54	3AX	
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10/2/2000	10/5/2000	Buy	77632669420	OICAX	419 81 50	Solicited	Cash - 01	0	23 82	3AX	
10/2/2000	10/5/2000	Buy	W632669420	OPMSX	1635 2200	Unsohoted	Cash - 01	market	15 90	3AX	
10/3/2000	10/6/2000	Buy	W636047672	ANWFX	2471 6500	Soluted	Cash - 01	market	29 63	3AX	
10/3/2000	10/6/2000	Buy	W636047672	AEPGY.	1.580 8.760	Soluted	Cash - 01	market	39 48	3AX	
10/3/2000	10/6/2000	Sell	W636047672	IVINX	1707 1350	Soluted	Cash - 01	market	36 68	3AX	
10/3/2000	10/6/2000	Sell	W636047672	220714505	52745 70	Solunted	Cash - 01			3AX	*
10/3/2000	10/6/2000	Buy	77632669420	RCVAX	1756 4400	Solicited	Cash - 01	market	17 08	3AX	
10/3/2000	10/6/2000	Buy	W632669420	OPPAX	485 7840	Soluted	Cash - 01	market	66 69	3AX	
10/3/2000	10/6/2000	Sell	W636047672	NEFDX	4282 7230	Solicited	Cash - 01	market	17 10	3AX	
10/3/2000	10/6/2000	Buy	77636020430	吕	100	Unsoluted Cash-01	Cash - 01	30 25	GTC	3AX	
10/3/2000	10/6/2000	Sell	77632295424	LEH	1000	Unsoluted Cash - 01	Cash - 01	160	GTC	3AX	
111/4/2001	UCUZ/1/CII	Sell	SVKRYOONSINS	FKINX	7884 9490	Solicited	Cash - 01,	market	231	34%	

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FIG. 11

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Richard A. Borgman	Carol J Coen	Max Cripe
115 Willow Ridge Run	9816 Stowaway Cove	29635 County Road 32
Monroeville, IN 46773-9339	Fort Wayne, IN 46835	Elkhart, IN 46517
Shawn Ferro	Chris R. Herman	Wanta L Lefever
2501 Water Run Court	04-996 County Road F50	3269 East 700 South
Highpoint, NC 27265	Edon, OH 43518	Columbia City, IN 46725
Fern K. McFadden	David A Story	Lisa E. and Jay W. Swett
9434 Shorewood Trail	2044 East Fox Drive	311 Pytchley Run
Fort Wayne, iN 46804	Columbia City, IN 46725	Annapolis, MD 21403-1601
Dennis C. Uhrhammer 611 Pellston Drive Fort Wayne, IN 46825	Sheri N. Williams 2605 Barnhart Avenue Fort Wayne, IN 46805	



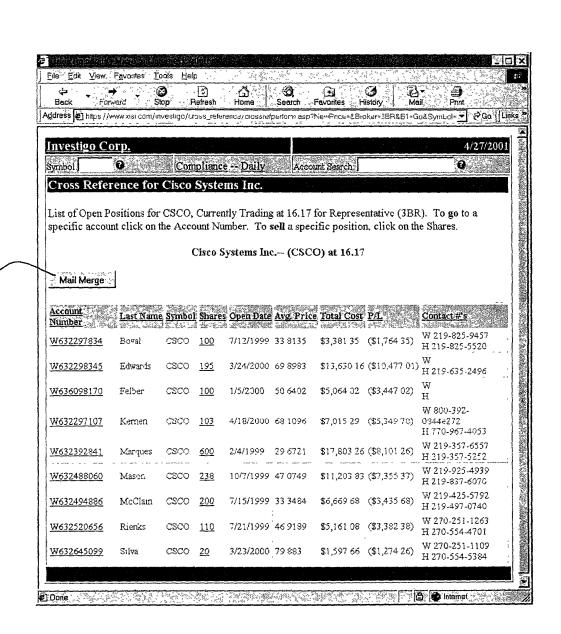


FIG. 14

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his Month		Shirley K. Bassett	A Bassett
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s M I	w I	F S Richard Cox	A Bassett Mary Client Kathleen F Cripe Austin Fergusson Sheila
		6 I Brent Edwards	Austin Fergusson
<u>8</u> <u>9</u> <u>10</u>		13 4	Sheila
<u> </u>		20 21 Robert Gibbeny	M Gravely
22 23 24	25 26 2	27. 28. Kathy J Lefever	William
22 30			L McClain
ldd an Appointment		Sherla M. Nave	Susan Rineholt Patrick Sievers
Start Date		<u>Donna J Sealscott</u> Casey Stafford	Kenneth Stocker
Start Time 8:00 AM	T	Birthdays this Month	
· · · · · · · · · · · · · · · · · · ·			4/1/1933
Duration 30 minutes	[;] <u> </u>	<u>Wanıta L. Lefever</u> Max Cripe	4/1/1933
Title		<u>Max Cripe</u> Fern K. McFadden	4/7/1947
,		David A. Story	4/10/1947
Notes	뒥	Carol J Coen	4/5/1948
Private?		Richard A. Borgman	4/23/1960
•	÷. 4	Sheri N Williams	4/1/1961
Add Appointment	<u> </u>	Chris R. Herman	4/17/1961
TrueSync		Lisa E and Jay W Swett	4/14/1966
ECHHOLOGY PLATFORM		Dennis C Uhrhammer	4/14/1966 4/3/1970 4/12/1972
		<u>Shawn Ferro</u> Kent A. Christman	4/14/1983
		Ken A Curtsunar	

FIG. 15

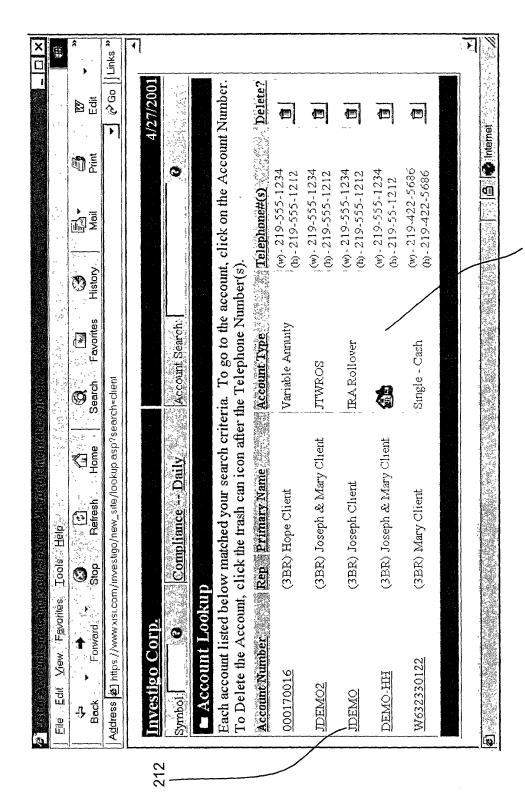


FIG. 16

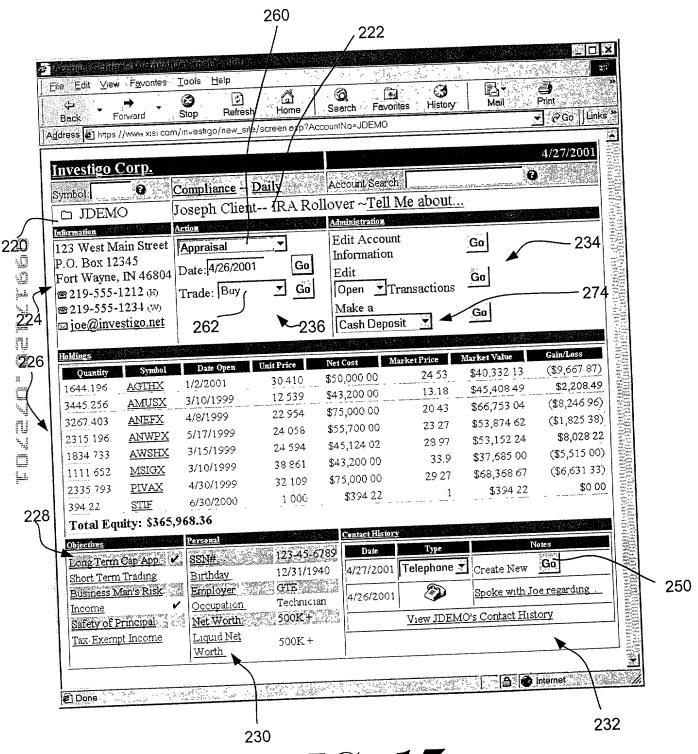


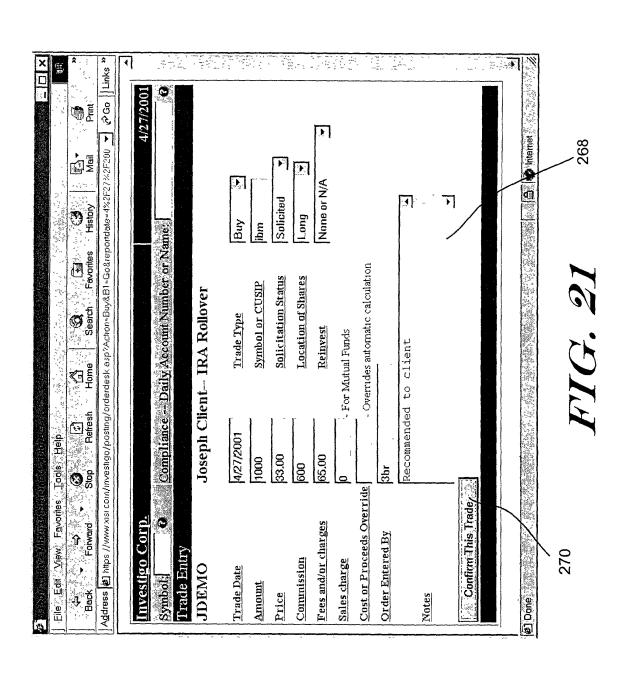
FIG. 17

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· · · · · · · · · · · · · · · · · · ·	Symbol	Date Open	Unit Price			Market Value	Gain/Loss
1644 196	AGTHX	1/2/2001	30 410	\$50,000 00	24 53	\$40,332 13	(\$9,667 87)
1644 196 3445 256	AGTHX AMUSX	1/2/2001 3/10/1999	30 410 12 539	\$50,000 00 \$43,200 00	24 53 13 18	\$40,332 13 \$45,408 49	(\$9,667 87) \$2, 208 49
1644 196 3445 256 3267 403	AGTHX AMUSX ANEFX	1/2/2001 3/10/1999 4/8/1999	30 410 12 539 22 954	\$50,000 00 \$43,200 00 \$75,000 <u>00</u>	24 53 13 18 20 43	\$40,332 13 \$45,408 49 \$66,753 04	(\$9,667 87) \$2, 208 49 (\$8,246 96)
1644 196 3445 256 3267 403 2315 196	AGTHX AMUSX ANEFX ANWPX	1/2/2001 3/10/1999 4/8/1999 5/17/1999	30 410 12 539 22 954 24 058	\$50,000 00 \$43,200 00 \$75,000 00 \$55,700 00	24 53 13 18 20 43 23 27	\$40,332 13 \$45,408 49 \$66,753 04 \$53,874 62	(\$9,667 87) \$2,208 49 (\$8,246 96) (\$1,825 38)
1644 196 3445 256 3267 403 2315 196 1834 733	AGTHX AMUSX ANEFX ANWPX AWSHX	1/2/2001 3/10/1999 4/8/1999 5/17/1999 3/15/1999	30 410 12 539 22 954 24 058 24 594	\$50,000 00 \$43,200 00 \$75,000 00 \$55,700 00 \$45,124 02	24 53 13 18 20 43 23 27 28 97	\$40,332 13 \$45,408 49 \$66,753 04 \$53,874 62 \$53,152 24	(\$9,667 87) \$2,208 49 (\$8,246 96) (\$1,825 38) \$8,028.22
1644 196 3445 256 3267 403 2315 196 1834 733 1111 652	AGTHX AMUSX ANEFX ANWPX AWSHX MSIGX	1/2/2001 3/10/1999 4/8/1999 5/17/1999 3/15/1999	30 410 12 539 22 954 24 058 24 594 38 861	\$50,000 00 \$43,200 00 \$75,000 00 \$55,700 00 \$45,124 02 \$43,200 00	24 53 13 18 20 43 23 27 28 97 33 9	\$40,332 13 \$45,408 49 \$66,753 04 \$53,874 62 \$53,152 24 \$37,685 00	(\$9,667 87) \$2,208 49 (\$8,246 96) (\$1,825 38) \$8,028.22 (\$5,515 00)
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1644 196 3445 256 3267 403 2315 196 1834 733 1111 652 2335 793	AGTHX AMUSX ANEFX ANWPX AWSHX MSIGX	1/2/2001 3/10/1999 4/8/1999 5/17/1999 3/15/1999	30 410 12 539 22 954 24 058 24 594 38 861	\$50,000 00 \$43,200 00 \$75,000 00 \$55,700 00 \$45,124 02 \$43,200 00	24 53 13 18 20 43 23 27 28 97 33 9	\$40,332 13 \$45,408 49 \$66,753 04 \$53,874 62 \$53,152 24 \$37,685 00	(\$9,667 87) \$2,208 49 (\$8,246 96) (\$1,825 38) \$8,028.22 (\$5,515 00)
1644 196 3445 256 3267 403 2315 196 1834 733 1111 652 2335 793 394 22	AGTHX AMUSX ANEFX ANWPX AWSHX MSIGX PIVAX	1/2/2001 3/10/1999 4/8/1999 5/17/1999 3/15/1999 3/10/1999 4/30/1999 6/30/2000	30 410 12 539 22 954 24 058 24 594 38 861 32 109	\$50,000 00 \$43,200 00 \$75,000 00 \$55,700 00 \$45,124 02 \$43,200 00 \$75,900 00	24 53 13 18 20 43 23 27 28 97 28 97 33 9 29 27	\$40,332 13 \$45,408 49 \$66,753 04 \$53,874 62 \$53,152 24 \$37,685 00 \$68,368 67	(\$9,667 87) \$2,208 49 (\$8,246 96) (\$1,825 38) \$8,028.22 (\$5,515 00) (\$6,631 33)
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FIG. 18

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Joseph Client						Acc	Account No JDEMO	DEMO	
123 West Main Street							=	IRA Rollover	
ort Wayne, IN 46804							Tax ID · 1 Page	Tax ID · 123-45-6789 Page 1 of 1	
	Realize	ed Gains	Realized Gains and Losses From 171/2000 To 4/26/2001	s From 1	/1/2000 Tc	4/26/200			
Date Quantity Bought	Unit Price	Net Cost	Date Sold	Unit Price	Proceeds	Short Term Profit Lass	rm Loss	Profit Loss	erm
AWSHX American-Washington Mutual Inv A	n Mutual Inv	Ą							
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P.O. Box 12345 Fort Wayne, IN	2345 IN 46804	P.O. Box 12345 Fort Wayne, IN 46804 Date: 4/26/2001		Information	_	5		
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© 219-555-1234 (w) □ joe@investigo.net	-1234 (W) estigo.net	Buy Cover		Make a Stock Deposit	sit 🔻	Go		
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Quantity	Symbol	Date Cpen you	OMIT THE	Net Cost M	Market Price A	Market Value	Gain/Loss	
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3445 256	AMUSX	3/10/1999	12 539	\$43,200 00	13 18	\$45,408 49	\$2,208 49	
3267 403	ANEFX	4/8/1999	22 954	\$75,000 00	20 43	\$66,753 04	(\$8,246.96)	
2315 196	ANWPX	5/17/1999	24 058	\$55,700 00	23 27	\$53,874 62	(\$1,825 38)	
1834 733	AWSHX	3/15/1999	24 594	\$45,124 02	28 97	\$53,152.24,	\$8,028 22	
11111 652	MSIGX	3/10/1999	38 861	\$43,200 00	339	\$37,685 00	(\$5,515 00)	
2335 793	PIVAX	4/30/1999	32 109	\$75,000 00	29 27	\$68,368 67	(\$6,631 33)	
394 22	STIF	6/30/2000	1 000	\$394 22	gund.	\$394 22	\$0.00	
Total Equity:	ity: \$365,968.36	68.36						
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Sales charge 0					
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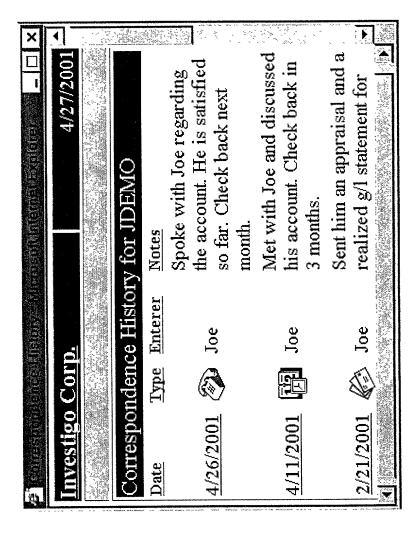
FIG. 23

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FOR V	VALUE RECEIVED, the undersigned does (do) hereby sell, assign, and transfer to SSN#: 123-45-6789 n Street N 46804									
4 641										
Joseph Client 123 West Mai	SSN#: 123-45-6789									
Fort Wayne, I	N 46804									
i	The state of the s									
	15 shares of the Common stock of INTERNATIONAL BUSINESS MACHINES COR (ibm)									
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	The undersigned does (do) hereby irrevocably constitute and appoint attorney to transfer the said stock or bond(s), as the									
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	substitution in the premises.									
Dated: 4/27/2	2001									
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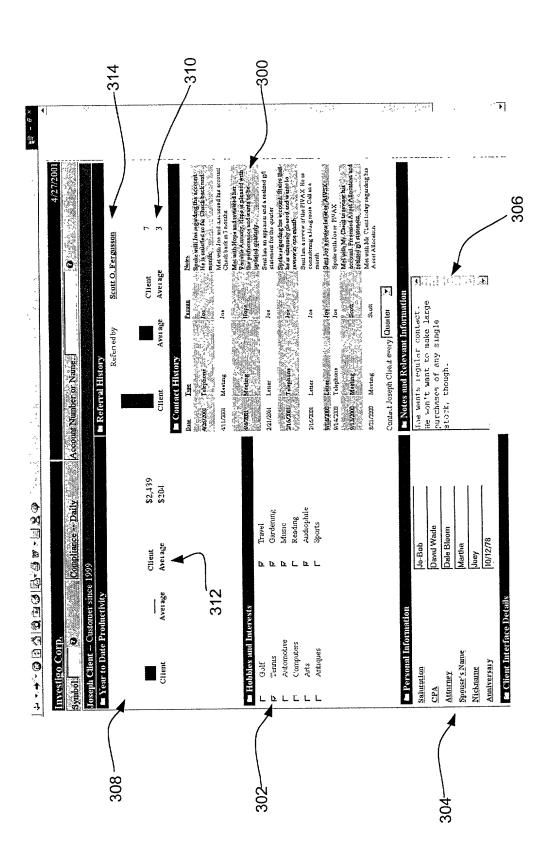


FIG. 28